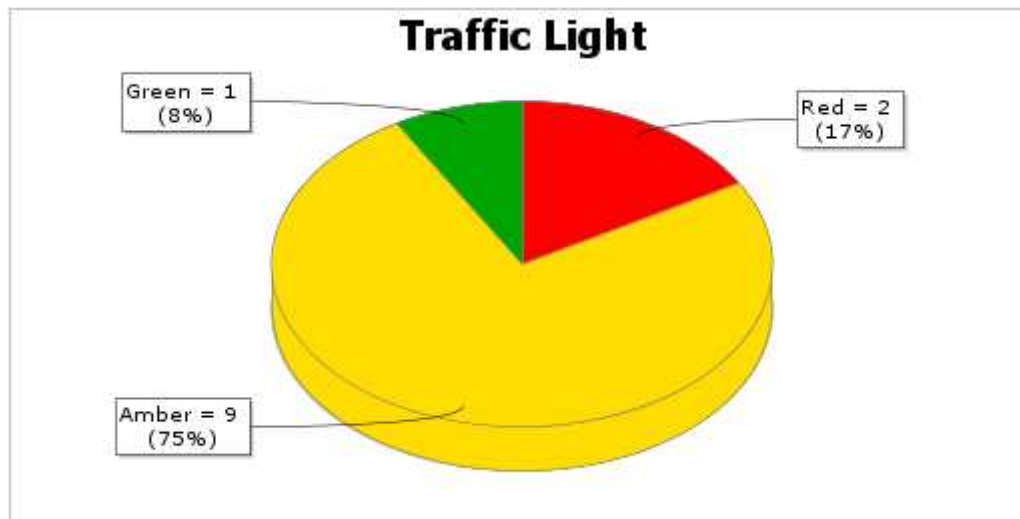






Corporate & Strategic Risk Register 2013-14 - Quarter 2




Report Type: Risks Report
Report Author: Debra Admin_Collins
Generated on: 11 November 2013








| Risk Code & Title | Risk Description | Original Risk Impact | Original Risk Likelihood | Current Impact | Current Likelihood | Current Risk Score | Current Risk Traffic Light Icon | Target Risk Impact | Target Risk Likelihood | Latest Note | Mitigating Actions | Managed By |
|---|--|----------------------|--------------------------|----------------|--------------------|--------------------|---|--------------------|------------------------|--|--|-------------|
| 13-CR 01 Insufficient progress against savings | The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings. | 4 | 1 | 4 | 1 | 4 |  | 4 | 1 | Budget savings are on track. However the current budget forecasts would enable the implementation to be phased over a longer period should that be necessary | A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum | Adrian Webb |

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| 13-CR 02 External factors impact negatively on Council's finances | External factors, such as the reforms to local government finance, negatively impact on Council's finances | 3 | 3 | 3 | 3 | 9 |  | 2 | 3 | The 2013/14 settlement combined a cut in core funding with an increase in New Homes Bonus, and a net increase overall. This will help ensure stability in the short to medium term, but the longer term position will be challenging. Major reform is implemented in 2013/14, the results of this may be variable. Recent indications are that large funding reductions will take effect from 2015/16 so the risk score reverts to the original assessment. | Work with local government partners to share the risks and benefits of reform | Stephen Joyce |
| 13-CR 03 Key partners unable to support LSP | Key partners are unable to support to the LSP because of | 2 | 3 | 2 | 2 | 4 |  | 2 | 2 | While the streamlined LSP is more manageable and | Optimise the Localism agenda and ensure that the Council | John Mitchell |






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| | altered priorities elsewhere in the public sector. The concept of 'Localism' may be difficult to communicate | | | | | | | | | accountable questions still remain over the value to the Council of some of the workstreams | retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community. | |
| 13-CR 04 Local Plan (formerly 'Affordable Housing') | Failure to meet objectively assessed housing need and identify | 3 | 3 | 3 | 2 | 6 |  | 3 | 2 | Consultation on extra sites to meet need objectively assessed Nov - Dec 2013 | Adherence to the Local Plan timetable coupled with consistent communicatio | Roger Harborough |

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| | sites acceptable to the community | | | | | | | | | | n and community engagement. Strong political leadership to ensure adoption of Local Development Framework. Commitment to build local authority housing | |
| 13-CR 05 Recycling (formerly 'Instability of volume of recyclables') | Ensure high quality of recyclable material is maintained | 3 | 2 | 2 | 2 | 4 |  | 2 | 2 | MRF reporting high level of "contamination" in recyclables since Apr, asserting - shredded paper and glass fines to be the problem | Strengthen communications about the importance of residents not putting food waste, unemptied cans and bottles in green lidded bin | Roger Harborough |
| 13-CR 06 Potential increase in environmental crime | Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints | 3 | 2 | 3 | 2 | 6 |  | 2 | 2 | A meeting between UDC and Epping Forest DC has taken place. Epping Forest have provided guidance on the issuing of FPN's together with copies of documentation and policies | Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued | Michael Perry |
| 13-CR 07 | Failure to | 3 | 2 | 3 | 1 | 3 |  | 3 | 1 | Interim peer | Necessary | John Mitchell |

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| Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles | embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community | | | | | | | | | group review in preparation | information available to all staff and regular training given | |
| 13-CR 08 Little money available for Highways improvements | Little money available for Highways improvements due to pressures on County Council budget | 3 | 3 | 2 | 3 | 6 |  | 2 | 3 | Highways Panel continues to target funding | Targeted improvements in district due to local member involvement in Highways Panel/Locality Board | Roger Harborough |
| 13-CR 09 Inability to implement the economic strategy | Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment | 3 | 2 | 3 | 1 | 3 |  | 3 | 1 | Partnership initiatives gaining traction | Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work | Roger Harborough |
| 13-CR 10 | The reform of | 3 | 2 | 3 | 2 | 6 |  | 2 | 2 | The UDC LCTS | Resource and | Stephen Joyce |

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| Adverse impact from reform of council tax benefits | council tax benefits will adversely impact some people currently in receipt of benefits | | | | | | | | | scheme adopts DCLG Transition principles and therefore impact on the claimant is less severe | implement the Council's Local Council Tax Support Policy approved 2012 | |
| 13-SR 01 Disruption of Council business | Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions | 4 | 2 | 4 | 2 | 8 |  | 4 | 2 | Target Impact amended (from 3 to 4) as requested by CMT 23.10.13 | Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood | Michael Perry |
| 13-SR 02 Major emergency at the airport | Major emergency at the airport e.g. due to plane crash, terrorism etc. | 2 | 1 | 2 | 1 | 2 |  | 2 | 1 | All plans are up to date and regularly exercised. Regular emergency planning | Ensure that emergency plans are in place and that there is regular liaison with airport | Michael Perry |

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| | | | | | | | | | | meetings are attended by the EPO at the airport with all agencies involved. E.g. Airport managements, fire service, business continuity & risk manager, airline reps, Essex county officers, Essex police and East of England ambulance | operator and engagement in emergency planning activities | |

| Risk Status | |
|---|-----------|
|  | Alert |
|  | High Risk |
|  | Warning |
|  | OK |
|  | Unknown |